

Important Information

about your Financial Adviser

Name: Nicola (Nicki) Smith
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What sort of adviser am I?

I am a Financial Adviser providing advice on behalf of Quantum Finance NZ Ltd - FSP 748272

Quantum Finance NZ Ltd is an Authorised Body of Quantum Finance Ltd who is the Financial Advice Provider Licence holder 751471

My qualifications

Level 5- NZ Certificate in Financial Services, specialising in:

- Residential Property Lending
- Investment (KiwiSaver)
- Life, Disability & Health Insurance

My duties

I am bound by the duties of the Financial Markets Conduct Act to meet the standards of competence, knowledge and skill as set out in the Code of Conduct for Financial Advice Providers. I give priority to my clients' interests and I exercise care, diligence and skill. I meet the standards of ethical behaviour, conduct and client care as set out in the Code of Conduct.

Accreditations

Banks, Lenders & Providers I'm accredited with:

- | | | | |
|------------------|-----------------------------|-----------------------------|--------------------------|
| • ANZ | • CFML | • Killarney Capital | • ScottPac |
| • ASB | • Co-operative Bank | • Lock Finance | • Select Asset Finance |
| • BNZ | • Cressida Capital | • Metro Finance | • Select Home Loans |
| • SBS Bank | • DBR | • NZ Mortgages & Securities | • Silver Harbour Capital |
| • TSB | • First Mortgage Trust | • Omega Capital | • Southern Cross |
| • Westpac | • Heartland Bank | • Oxford Finance | • TPT Finance |
| • ASAP Finance | • Flexi Capital | • Pallas Capital | • UDC |
| • Avanti Finance | • Fortis Capital | • Pepper Money | • XCEDA Finance |
| • Basecorp | • General Finance | • Plus Finance | • XE Money |
| • BIZCAP | • Homesecc Business Finance | • RESIMAC | |

KIWISAVER

- Milford Booster Generate Kōura NZ Funds

I only provide financial advice on these KiwiSaver schemes. I won't be considering whether you're investing in other investment products such as managed investment schemes.

Professional Memberships

I am a current member of the NZFSG (New Zealand Financial Services Group) Mortgage Aggregation Group, and I am a participating member of the Financial Services Complaints Ltd (FSCL) Disputes Resolution Group.

Further Information

Full details on Fees & Expenses, Conflicts of Interest, and how we handle complaints, are on our website: www.quantumgroup.nz/our-obligations/

This information is available in writing. Please email the address below if you would like a copy.

HQ: B2/99 Greenwood Street, Frankton, Hamilton 3204

W: quantumgroup.nz

P: 0800BROKER

E: customerservice@quantumgroup.nz